

**ANNEXURE-'B'**

Format for Investors Complaints Data to be displayed by Registered Merchant Bankers on their respective websites (For each category, separately as well as collectively)

Data for month ending September 2024 – For each category

Category – Initial Public Offer (IPO) and Further Public Offer (FPO) including Offer for Sale (OFS);

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	NIL	NIL	NIL	NIL	NIL	NIL
2	SEBI (SCORES)	NIL	NIL	NIL	NIL	NIL	NIL
3	Stock Exchanges (if relevant)	NIL	NIL	NIL	NIL	NIL	NIL
4	Other Sources (if any)	NIL	NIL	NIL	NIL	NIL	NIL
5	<b>Grand Total</b>	NIL	NIL	NIL	NIL	NIL	NIL

**Trend of monthly disposal of complaints (For 5 months on rolling basis)-**

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	May 2024	NIL	NIL	NIL	NIL
2	June 2024	NIL	NIL	NIL	NIL
3	July 2024	NIL	NIL	NIL	NIL
4	August 2024	NIL	NIL	NIL	NIL
5	September 2024	NIL	NIL	NIL	NIL
	<b>Grand Total</b>	NIL	NIL	NIL	NIL

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

\* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

**Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-**

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2019-20	NIL	NIL	NIL	NIL
2	2020-21	NIL	NIL	NIL	NIL
3	2021-22	NIL	NIL	NIL	NIL
4	2022-23	NIL	NIL	NIL	NIL
5	2023-24	NIL	NIL	NIL	NIL
	<b>Grand Total</b>	NIL	NIL	NIL	NIL

**Category – Rights Issue**

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time <sup>^</sup> (in days)
1	Directly from Investors	NIL	NIL	NIL	NIL	NIL	NIL
2	SEBI (SCORES)	NIL	NIL	NIL	NIL	NIL	NIL
3	Stock Exchanges (if relevant)	NIL	NIL	NIL	NIL	NIL	NIL
4	Other Sources (if any)	NIL	NIL	NIL	NIL	NIL	NIL
5	<b>Grand Total</b>	NIL	NIL	NIL	NIL	NIL	NIL

**Trend of monthly disposal of complaints (For 5 months on rolling basis)-**

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	May 2024	NIL	NIL	NIL	NIL
2	June 2024	NIL	NIL	NIL	NIL
3	July 2024	NIL	NIL	NIL	NIL
4	August 2024	NIL	NIL	NIL	NIL
5	September 2024	NIL	NIL	NIL	NIL
	<b>Grand Total</b>	NIL	NIL	NIL	NIL

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

\* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

**Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-**

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2019-20	NIL	NIL	NIL	NIL
2	2020-21	NIL	NIL	NIL	NIL
3	2021-22	NIL	NIL	NIL	NIL
4	2022-23	NIL	NIL	NIL	NIL
5	2023-24	NIL	NIL	NIL	NIL
	<b>Grand Total</b>	NIL	NIL	NIL	NIL

**Category – Qualified Institutions Placement (QIP)**

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time <sup>^</sup> (in days)
1	Directly from Investors	NIL	NIL	NIL	NIL	NIL	NIL
2	SEBI (SCORES)	NIL	NIL	NIL	NIL	NIL	NIL
3	Stock Exchanges (if relevant)	NIL	NIL	NIL	NIL	NIL	NIL
4	Other Sources (if any)	NIL	NIL	NIL	NIL	NIL	NIL
5	<b>Grand Total</b>	NIL	NIL	NIL	NIL	NIL	NIL

**Trend of monthly disposal of complaints (For 5 months on rolling basis)-**

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	May 2024	NIL	NIL	NIL	NIL
2	June 2024	NIL	NIL	NIL	NIL
3	July 2024	NIL	NIL	NIL	NIL
4	August 2024	NIL	NIL	NIL	NIL
5	September 2024	NIL	NIL	NIL	NIL
	<b>Grand Total</b>	NIL	NIL	NIL	NIL

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

\* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

**Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-**

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2019-20	NIL	NIL	NIL	NIL
2	2020-21	NIL	NIL	NIL	NIL
3	2021-22	NIL	NIL	NIL	NIL
4	2022-23	NIL	NIL	NIL	NIL
5	2023-24	NIL	NIL	NIL	NIL
	<b>Grand Total</b>	NIL	NIL	NIL	NIL

**Category – Preferential Issue**

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time <sup>^</sup> (in days)
1	Directly from Investors	NIL	NIL	NIL	NIL	NIL	NIL
2	SEBI (SCORES)	NIL	NIL	NIL	NIL	NIL	NIL
3	Stock Exchanges (if relevant)	NIL	NIL	NIL	NIL	NIL	NIL
4	Other Sources (if any)	NIL	NIL	NIL	NIL	NIL	NIL
5	<b>Grand Total</b>	NIL	NIL	NIL	NIL	NIL	NIL

**Trend of monthly disposal of complaints (For 5 months on rolling basis)-**

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	May 2024	NIL	NIL	NIL	NIL
2	June 2024	NIL	NIL	NIL	NIL
3	July 2024	NIL	NIL	NIL	NIL
4	August 2024	NIL	NIL	NIL	NIL
5	September 2024	NIL	NIL	NIL	NIL
	<b>Grand Total</b>	NIL	NIL	NIL	NIL

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

\* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

**Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-**

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2019-20	NIL	NIL	NIL	NIL
2	2020-21	NIL	NIL	NIL	NIL
3	2021-22	NIL	NIL	NIL	NIL
4	2022-23	NIL	NIL	NIL	NIL
5	2023-24	NIL	NIL	NIL	NIL
	<b>Grand Total</b>	NIL	NIL	NIL	NIL

**Category – SME IPO and FPO including OFS**

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	NIL	NIL	NIL	NIL	NIL	NIL
2	SEBI (SCORES)	NIL	NIL	NIL	NIL	NIL	NIL
3	Stock Exchanges (if relevant)	NIL	NIL	NIL	NIL	NIL	NIL
4	Other Sources (if any)	NIL	NIL	NIL	NIL	NIL	NIL
5	<b>Grand Total</b>	NIL	NIL	NIL	NIL	NIL	NIL

**Trend of monthly disposal of complaints (For 5 months on rolling basis)-**

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	May 2024	NIL	NIL	NIL	NIL
2	June 2024	NIL	NIL	NIL	NIL
3	July 2024	NIL	NIL	NIL	NIL
4	August 2024	NIL	NIL	NIL	NIL
5	September 2024	NIL	NIL	NIL	NIL
	<b>Grand Total</b>	NIL	NIL	NIL	NIL

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

\* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

**Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-**

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2019-20	NIL	NIL	NIL	NIL
2	2020-21	NIL	NIL	NIL	NIL
3	2021-22	NIL	NIL	NIL	NIL
4	2022-23	NIL	NIL	NIL	NIL
5	2023-24	NIL	NIL	NIL	NIL
	<b>Grand Total</b>	NIL	NIL	NIL	NIL

**Category – Buyback of Securities**

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	NIL	NIL	NIL	NIL	NIL	NIL
2	SEBI (SCORES)	NIL	NIL	NIL	NIL	NIL	NIL
3	Stock Exchanges (if relevant)	NIL	NIL	NIL	NIL	NIL	NIL
4	Other Sources (if any)	NIL	NIL	NIL	NIL	NIL	NIL
5	<b>Grand Total</b>	NIL	NIL	NIL	NIL	NIL	NIL

**Trend of monthly disposal of complaints (For 5 months on rolling basis)-**

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	May 2024	NIL	NIL	NIL	NIL
2	June 2024	NIL	NIL	NIL	NIL
3	July 2024	NIL	NIL	NIL	NIL
4	August 2024	NIL	NIL	NIL	NIL
5	September 2024	NIL	NIL	NIL	NIL
	<b>Grand Total</b>	NIL	NIL	NIL	NIL

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

\* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

**Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-**

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2019-20	NIL	NIL	NIL	NIL
2	2020-21	NIL	NIL	NIL	NIL
3	2021-22	NIL	NIL	NIL	NIL
4	2022-23	NIL	NIL	NIL	NIL
5	2023-24	NIL	NIL	NIL	NIL
	<b>Grand Total</b>	NIL	NIL	NIL	NIL

**Category – Delisting of Equity Shares**

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time <sup>^</sup> (in days)
1	Directly from Investors	NIL	NIL	NIL	NIL	NIL	NIL
2	SEBI (SCORES)	NIL	NIL	NIL	NIL	NIL	NIL
3	Stock Exchanges (if relevant)	NIL	NIL	NIL	NIL	NIL	NIL
4	Other Sources (if any)	NIL	NIL	NIL	NIL	NIL	NIL
5	<b>Grand Total</b>	NIL	NIL	NIL	NIL	NIL	NIL

**Trend of monthly disposal of complaints (For 5 months on rolling basis)-**

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	May 2024	NIL	NIL	NIL	NIL
2	June 2024	NIL	NIL	NIL	NIL
3	July 2024	NIL	NIL	NIL	NIL
4	August 2024	NIL	NIL	NIL	NIL
5	September 2024	NIL	NIL	NIL	NIL
	<b>Grand Total</b>	NIL	NIL	NIL	NIL

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

\* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

**Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-**

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2019-20	NIL	NIL	NIL	NIL
2	2020-21	NIL	NIL	NIL	NIL
3	2021-22	NIL	NIL	NIL	NIL
4	2022-23	NIL	NIL	NIL	NIL
5	2023-24	NIL	NIL	NIL	NIL
	<b>Grand Total</b>	NIL	NIL	NIL	NIL

**Category – Substantial Acquisitions of Shares and Takeovers**

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	NIL	NIL	NIL	NIL	NIL	NIL
2	SEBI (SCORES)	NIL	NIL	NIL	NIL	NIL	NIL
3	Stock Exchanges (if relevant)	NIL	NIL	NIL	NIL	NIL	NIL
4	Other Sources (if any)	NIL	NIL	NIL	NIL	NIL	NIL
5	<b>Grand Total</b>	NIL	NIL	NIL	NIL	NIL	NIL

**Trend of monthly disposal of complaints (For 5 months on rolling basis)-**

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	May 2024	NIL	NIL	NIL	NIL
2	June 2024	NIL	NIL	NIL	NIL
3	July 2024	NIL	NIL	NIL	NIL
4	August 2024	NIL	NIL	NIL	NIL
5	September 2024	NIL	NIL	NIL	NIL
	<b>Grand Total</b>	NIL	NIL	NIL	NIL

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

\* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

**Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-**

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2019-20	NIL	NIL	NIL	NIL
2	2020-21	NIL	NIL	NIL	NIL
3	2021-22	NIL	NIL	NIL	NIL
4	2022-23	NIL	NIL	NIL	NIL
5	2023-24	NIL	NIL	NIL	NIL
	<b>Grand Total</b>	NIL	NIL	NIL	NIL



**Data for every month ending – collectively**

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors	NIL	NIL	NIL	NIL	NIL	NIL
2	SEBI (SCORES)	NIL	NIL	NIL	NIL	NIL	NIL
3	Stock Exchanges (if relevant)	NIL	NIL	NIL	NIL	NIL	NIL
4	Other Sources (if any)	NIL	NIL	NIL	NIL	NIL	NIL
5	<b>Grand Total</b>	NIL	NIL	NIL	NIL	NIL	NIL

**Trend of monthly disposal of complaints (For 5 months on rolling basis)-**

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	May 2024	NIL	NIL	NIL	NIL
2	June 2024	NIL	NIL	NIL	NIL
3	July 2024	NIL	NIL	NIL	NIL
4	August 2024	NIL	NIL	NIL	NIL
5	September 2024	NIL	NIL	NIL	NIL
	<b>Grand Total</b>	NIL	NIL	NIL	NIL

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

\* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

**Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-**

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2019-20	NIL	NIL	NIL	NIL
2	2020-21	NIL	NIL	NIL	NIL
3	2021-22	NIL	NIL	NIL	NIL
4	2022-23	NIL	NIL	NIL	NIL
5	2023-24	NIL	NIL	NIL	NIL
	<b>Grand Total</b>	NIL	NIL	NIL	NIL